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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. PUSA031028 (15749/448)

First Inventor Kevin Hsu

Title LAMP SHADE

Express Mail Label No. EL 985895871 US

U.S. PTO
10/714989
111703

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
 3. ☒ Specification [Total Pages (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets - 5. Oath or Declaration [Total Pages - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: ____ / ____

Prior application information: Examiner ____

Group / Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

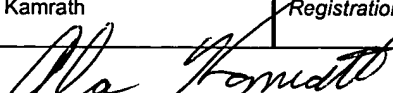
17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

| | | | | | |
|---------|--------------------------------------|-----------|----------------|----------|----------------|
| Name | Alan D. Kamrath | | | | |
| | Rider Bennett, LLP | | | | |
| Address | 333 South Seventh Street, Suite 2000 | | | | |
| City | Minneapolis | State | Minnesota | Zip Code | 55402 |
| Country | USA | Telephone | (612) 340-8925 | Fax | (612) 340-7900 |

| | | | |
|-------------------|---|-----------------------------------|-------------------|
| Name (Print/Type) | Alan D. Kamrath | Registration No. (Attorney/Agent) | 28,227 |
| Signature |  | Date | November 17, 2003 |

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18304 U.S. PTO

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PTO/SB/17 (10-01)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|------------------------|
| Application Number | |
| Filing Date | November 17, 2003 |
| First Named Inventor | Kevin Hsu |
| Examiner Name | |
| Group / Art Unit | |
| Attorney Docket No. | PUSA031028 (15749/448) |

TOTAL AMOUNT OF PAYMENT (\$) 425

| METHOD OF PAYMENT (check one) | | FEE CALCULATION (continued) | |
|---|-----------------------|--|-----------------|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 50-1188 Deposit Account Name: Rider, Bennett, Egan & Arundel, LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | 3. ADDITIONAL FEES | |
| 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | |
| FEE CALCULATION | | | |
| 1. BASIC FILING FEE | | | |
| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
| 101 770 201 385 | | Utility filing fee | 385 |
| 106 340 206 170 | | Design filing fee | |
| 107 530 207 265 | | Plant filing fee | |
| 108 770 208 385 | | Reissue filing fee | |
| 114 160 214 80 | | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) 385 |
| 2. EXTRA CLAIM FEES | | | |
| Total Claims | 12 | Extra Claims | 0 |
| Independent Claims | 1 | Fee from below | 0 |
| Multiple Dependent | | Fee Paid | 0 |
| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
| 103 18 203 9 | | Claims in excess of 20 | |
| 102 86 202 43 | | Independent claims in excess of 3 | |
| 104 290 204 145 | | Multiple dependent claim, if not paid | |
| 109 86 209 43 | | ** Reissue independent claims over original patent | |
| 110 18 210 9 | | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | (\$) 0 |
| *or number previously paid, if greater; For Reissues, see above | | | |
| | | Other fee (specify) _____ | |
| | | *Reduced by Basic Filing Fee Paid | |
| | | SUBTOTAL (3) | |
| | | (\$) 40 | |

SUBMITTED BY**Complete (if applicable)**

| | | | | | |
|-------------------|-----------------|---------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Alan D. Kamrath | Registration No. Attorney/Agent | 28,227 | Telephone | 612/340-8925 |
| Signature | | | | Date | November 17, 2003 |

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